

# Dimension group of dendric subshifts

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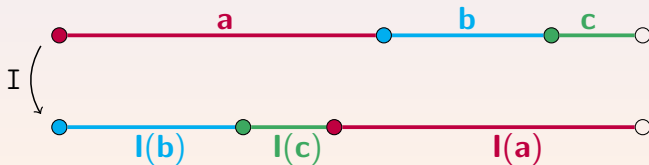
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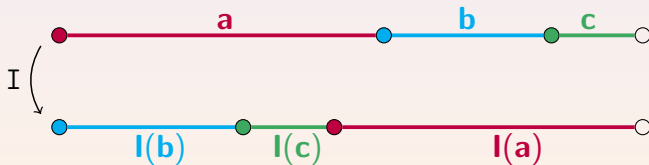
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- The subshift generated by a **three-intervale exchange** with the same frequencies



- How can we compare this two systems?

# Motivation

- In the Tribonacci subshift, there exists a unique infinite word  $x_G = x_0x_1x_2\cdots$  with **three different pasts**: the words

$$y_1 = p_1(x_G) \cdot x_G$$

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- Since proper asymptotic pairs are preserved under conjugacy, both subshifts are not conjugate.
- But they are **orbit equivalent**, they are even **strong orbit equivalent**.

# Outline

- Orbit equivalence and Dimension group of a subshift.
- Dendric subshifts.
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# Orbit equivalence and Dimension group

# Orbit equivalence

- Two (topological) dynamical systems  $(X, T)$  and  $(Y, S)$  are (topological) orbit equivalent if there is a homeomorphism  $h : X \rightarrow Y$  such that for all  $x \in X$

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- $(X, T)$  and  $(Y, S)$  are strong orbit equivalent if  $n$  has at most one point of discontinuity.

# Dimension group

- Consider  $C(X, \mathbb{Z})$  the set of **continuous functions** from  $X$  to  $\mathbb{Z}$ ,  
 $\beta : C(X, \mathbb{Z}) \rightarrow C(X, \mathbb{Z})$  given by

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- Define  $H(X, T) := C(X, \mathbb{Z}) / \beta C(X, \mathbb{Z})$ .
- Is a **partially ordered abelian group**, whose **positive cone** is given by

$$H(X, T)^+ = \{[f] \in H(X, \mathbb{Z}) : f \in C(X, \mathbb{N})\}.$$

$$([f] \geq [g] \Leftrightarrow [f] - [g] \in H(X, T)^+).$$

# Dimension group

- The class  $[1]$  of the constant function 1 is an **order unit** of  $H(X, T)$ : for every  $[f] \in H(X, T)$ , there exists  $n \in \mathbb{N}$  such that

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### Theorem (Giordano–Putnam–Skau '95)

$(X, T)$  and  $(Y, S)$  are strong orbit equivalent if and only if

$$K^0(X, T) \cong K^0(Y, S)$$

(as ordered group with unit).

# Dimension group

**Example.** The dimension groups associated to the **Tribonacci shift** and the **three-interval exchange** with frequencies  $(\alpha, \alpha^2, \alpha^3)$  are both

$$(\mathbb{Z}^3, \{\mathbf{x} \in \mathbb{Z}^3 \mid \langle \mathbf{x}, \mathbf{f} \rangle > 0\} \cup \{0\}, \mathbf{1}).$$

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- So the two subshifts are strong orbit equivalent.

# Dendric subshifts



# Dendric subshifts

- Recall that for any factor  $w$  in the language  $\mathcal{L}_X$  of  $(X, T)$ , the **extensions** of  $w$  are the following sets,

$$L(w) = \{a \in \mathcal{A} \mid aw \in \mathcal{L}_X\}$$

$$R(w) = \{a \in \mathcal{A} \mid wa \in \mathcal{L}_X\}$$

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- Left special factor:**  $|L(w)| \geq 2$   
**Right special factor:**  $|R(w)| \geq 2$   
**Bispecial factor:**  $|L(w)|, |R(w)| \geq 2$ .

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- The **extension graph**  $\mathcal{E}(w)$  of  $w$  is the **undirected bipartite** graph whose set of vertices is the disjoint union of  $L(w)$  and  $R(w)$  and whose edges are the pairs  $(a, b) \in B(w)$ .

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- ▶ Consider the Thue-Morse word in  $\{a, b\}$  given by

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$$\sigma : a \mapsto ab, b \mapsto ba.$$

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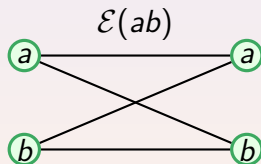
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- We focus on **minimal** dendric subshifts.

# Dendric words: Sturmian words

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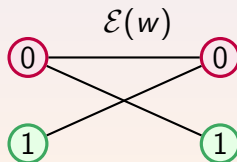
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# Dendric subshifts: Arnoux–Rauzy words

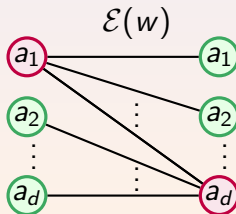
- Consider the alphabet  $\mathcal{A} = \{1, 2, \dots, d\}$ ,  $x \in \mathcal{A}^{\mathbb{N}}$  or  $\mathcal{A}^{\mathbb{Z}}$  is an Arnoux-Rauzy word if it is **uniformly recurrent** and for each  $n \in \mathbb{N}$  there exists exactly
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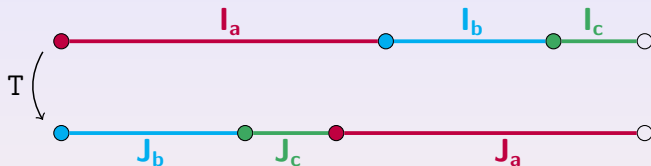
$$\mu_a = \sum_{b \leq_1 a} \lambda_a \quad \nu_a = \sum_{b \leq_2 a} \lambda_b.$$

- The **interval exchange transformation** relative to  $(I_a)_{a \in \mathcal{A}}$  is the map  $T : [0, 1) \rightarrow [0, 1)$  given by

$$T(z) = z + (\nu_a - \mu_a) \quad \text{if } z \in I_a.$$

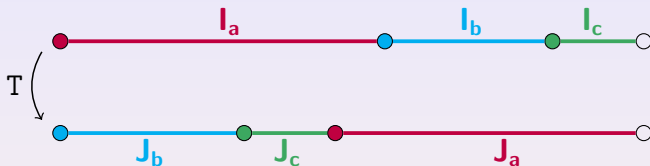
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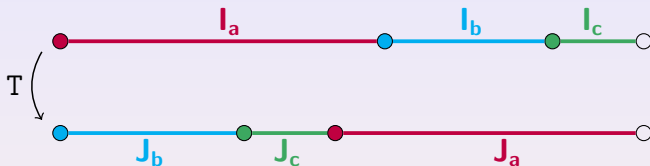
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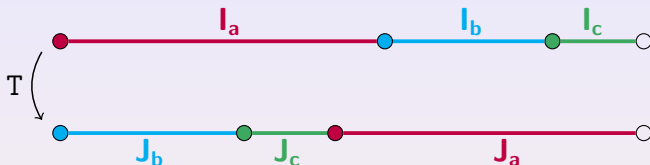


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- [Berthé, De Felice, Dolce, Leroy, Perrin, Reutenauer, Rindone '14] Regular interval exchanges generate dendric subshifts.



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**Dendric subshifts**

# Dimension group of dendric subshifts

## Theorem (Berthé, C., Durand, Dolce, Leroy, Perrin, Petite '18)

*Let  $(X, T)$  be a minimal dendric subshift on a  $d$ -letter alphabet. Let  $\mathcal{M}(X, T)$  stand for its set of invariant measures. Then,  $K^0(X, T)$  is isomorphic to*

$$(\mathbb{Z}^d, \{\mathbf{x} \in \mathbb{Z}^d \mid \langle \mathbf{x}, \mathbf{f}_\mu \rangle > 0 \text{ for all } \mu \in \mathcal{M}(X, T)\} \cup \{\mathbf{0}, \mathbf{1}\}),$$

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*where  $\mathbf{f}_\mu \in \mathbb{R}^d$  is the letter frequency vector.*

## Corollary

*Two minimal dendric subshifts over the same alphabet are strong orbit equivalent if and only if they have the same additive group of letter frequencies.*



## Return words

- Let  $w \in \mathcal{L}_X$ . A **left return word** to  $w$  is a factor  $u \in \mathcal{L}_X$  such that  $uw \in \mathcal{L}_X$ ,  $w$  is a prefix of  $uw$  and  $uw$  contains exactly two occurrences of  $w$ .

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- Since  $(X, T)$  is minimal, the set  $\mathcal{R}_X(w)$  of any factor  $w$  is finite.

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- Since  $(X, T)$  is minimal, the set  $\mathcal{R}_X(w)$  of any factor  $w$  is finite.

**Example.** Consider the Fibonacci word in  $\{a, b\}^{\mathbb{N}}$  given by

$$x_F = abaababaabaababaababaabaa \dots$$

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The return words to the prefixes of  $x_F$  are:

$$\begin{aligned} \text{return words to } a &= \{a, ab\}. \\ \text{return words to } ab &= \{ab, aba\}. \\ \text{return words to } aba &= \{ab, aba\}. \\ &\vdots \end{aligned}$$

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**Theorem** (Berthé, De Felice, Dolce, Leroy, Perrin, Reutenauer, Ridone '15)

*Let  $(X, T)$  a minimal dendric subshift on the alphabet  $\mathcal{A}$ . Then for any  $w \in \mathcal{L}_X$ , the set  $\mathcal{R}_X(w)$  is a basis of the free group on  $\mathcal{A}$ .*

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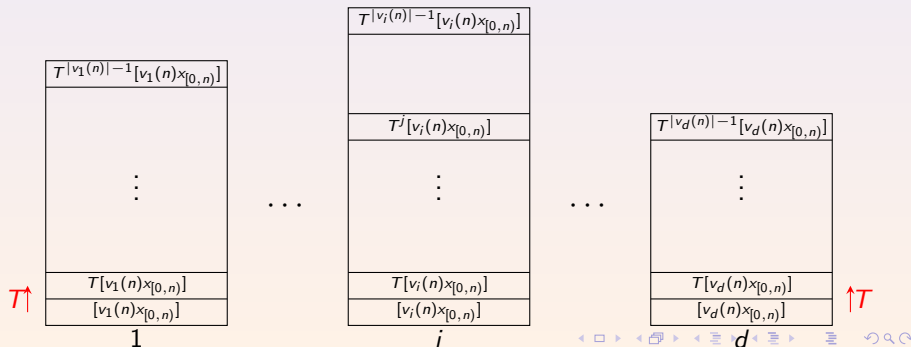
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- Since  $\{v_1(n), \dots, v_d(n)\}$  is a basis of the free group  $F_d$  for all  $n$ ,

$$M_n \in GL_d(\mathbb{Z}),$$

(every  $v_i(n)$  admits a unique decomposition in terms of  $v_k(n+1)$ 's and their inverses).

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$$\varphi[(x_n)_{n \geq 0}] \mapsto (M_k \cdot M_{k-1} \cdots M_1 \cdot M_0)^{-1}(x_k)$$

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where  $k$  is any positive integer such that  $x_{n+1} = M_n(x_n)$  for all  $n \geq k$ .

- Note we have added the partition  $\mathcal{P}_0 = \{[a] : a \in \mathcal{A}\}$ . The matrix  $M_0$  whose coefficients are

$$M_0(k, i) = |v_k(1)|_a$$

is invertible.

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- So that

$$(\mathbb{Z}^d, ?, \mathbf{1}) \xrightarrow{\varphi^{-1}} (G_{\mathfrak{G}}, G_{\mathfrak{G}}^+, \mathbf{1}_{\mathfrak{G}}) \xrightarrow{\pi_{\mathfrak{G}}} (H(X, T), H(X, T)^+, \mathbf{1})$$

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- Fact:

$$H(X, T)^+ = \pi_{\mathfrak{S}} \circ \varphi^{-1}(\{\mathbf{x} \in \mathbb{Z}^d \mid \langle \mathbf{x}, \mathbf{f}_{\mu} \rangle > 0 \text{ for all } \mu \in \mathcal{M}(X, T)\} \cup \{0\}).$$

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- To prove the fact, we use two fundamental tools,

- (1) [Effros '81] The positive cone  $H(X, T)^+$  is completely determined by invariant measures,

$$H(X, T)^+ = \left\{ \pi(f) \in H(X, T) : \int f d\mu > 0 \forall \mu \in \mathcal{M}(X, T) \right\} \cup \{0_{H(X, T)}\}$$

- (2) Matrices  $M_n$  are invertible.